

ALLEN & OVERY

SI table Brexit Law – Brexit Financial Services Statutory Instruments

This table sets out the Brexit statutory instruments (SIs) that amend onshored retained EU legislation and will come into force at the end of the implementation period (IP Completion Date)

1 July 2021

1. The EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018
2. The Capital Requirements (Amendment) (EU Exit) Regulations 2018
3. The Short Selling (Amendment) (EU Exit) Regulations 2018
4. The Consumer Protection (Amendment etc.) (EU Exit) Regulations 2018
5. The Consumer Credit (Amendment) (EU Exit) Regulations 2018
6. The Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019
7. The Bank Recovery and Resolution and Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018
8. The Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018
9. The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018

10. The Credit Transfers and Direct Debits in Euro (Amendment) (EU Exit) Regulations 2018
11. The Credit Rating Agencies (Amendment, etc.) (EU Exit) Regulations 2019
12. The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018
13. The Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018
14. The Deposit Guarantee Scheme and Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018
15. The Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018
16. The Securitisation (Amendment) (EU Exit) Regulations 2019
17. The Prospectus (Amendment etc.) (EU Exit) Regulations 2019
18. The Market Abuse (Amendment) (EU Exit) Regulations 2019
19. The Central Securities Depositories (Amendment) (EU Exit) Regulations 2018
20. The Financial Markets and Insolvency (Amendment and Transitional Provision) (EU Exit) Regulations 2019
21. The Building Societies Legislation (Amendment) (EU Exit) Regulations 2018
22. The Friendly Societies (Amendment) (EU Exit) Regulations 2018
23. The Alternative Investment Fund Managers (Amendment etc.) (EU Exit) Regulations 2019
24. The Investment Exchanges, Clearing Houses and Central Securities Depositories (Amendment) (EU Exit) Regulations 2019
25. The Bank of England (Amendment) (EU Exit) Regulations 2018
26. The Official Listing of Securities, Prospectus and Transparency (Amendment) (EU Exit) Regulations 2019
27. The Transparency of Securities Financing Transactions and of Reuse (Amendment) (EU Exit) Regulations 2019
28. The Financial Conglomerates and Other Financial Groups (Amendment etc.) (EU Exit) Regulations 2019
29. The Payment Accounts (Amendment) (EU Exit) Regulations 2019
30. The Credit Institutions and Insurance Undertakings Reorganisation and Winding Up (Amendment) (EU Exit) Regulations 2019

31. The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2019
32. The Long-term Investment Funds (Amendment) (EU Exit) Regulations 2019
33. The Social Entrepreneurship Funds (Amendment) (EU Exit) Regulations 2019
34. The Venture Capital Funds (Amendment) (EU Exit) Regulations 2019
35. The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019
36. The Benchmarks (Amendment and Transitional Provision) (EU Exit) Regulations 2019
37. The Insurance Distribution (Amendment) (EU Exit) Regulations 2019
38. The Money Laundering and Transfer of Funds (Information) (Amendment) (EU Exit) Regulations 2019
39. The Money Market Funds (Amendment) (EU Exit) Regulations 2019
40. The Packaged Retail and Insurance-based Investment Products (Amendment) (EU Exit) Regulations 2019
41. The Interchange Fee (Amendment) (EU Exit) Regulations 2019
42. The Financial Services (Distance Marketing) (Amendment and Savings Provisions) (EU Exit) Regulations 2019
43. The Mortgage Credit (Amendment) (EU Exit) Regulations 2019
44. The Uncertificated Securities (Amendment and EU Exit) Regulations 2019
45. The Financial Services (Gibraltar) (Amendment) (EU Exit) Regulations 2019
46. The Public Record, Disclosure of Information and Co-operation (Financial Services) (Amendment) (EU Exit) Regulations 2019
47. The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019
48. The Financial Services Contracts (Transitional and Saving Provision) (EU Exit) Regulations 2019
49. The Companies, Limited Liability Partnerships and Partnerships (Amendment etc.) (EU Exit) Regulations 2019
50. The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019
51. The Competition (Amendment etc.) (EU Exit) Regulations 2019

52. The Financial Regulators' Powers (Technical Standards etc.) and Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2019
53. The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019
54. The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019
55. The Electronic Commerce and Solvency 2 (Amendment etc.) (EU Exit) Regulations 2019
56. The Financial Guidance and Claims Act 2018 (Naming and Consequential Amendments) Regulations 2019
57. The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019
58. The Capital Requirements (Amendment) (EU Exit) Regulations 2019
59. The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019
60. The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019
61. The Risk Transformation and Solvency 2 (Amendment) (EU Exit) Regulations 2019
62. The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019
63. The Cross-Border Distribution of Funds, Proxy Advisors, Prospectus and Gibraltar (Amendment) (EU Exit) Regulations 2019
64. The Financial Services (Consequential Amendments) Regulations 2020
65. The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020
66. The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020
67. The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020
68. The Cyber (Sanctions) (EU Exit) Regulations 2020
69. The Money Laundering and Terrorist Financing (Amendment) (EU Exit) Regulations 2020
70. The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 4) Regulations 2020
71. The Consumer Protection (Enforcement) (Amendment etc.) (EU Exit) Regulations 2020
72. The Sanctions (EU Exit) (Consequential Provisions) (Amendment) Regulations 2020

- 73. The Bank Recovery and Resolution (Amendment) (EU Exit) Regulations 2020
- 74. The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020
- 75. The Financial Holding Companies (Approval etc.) and Capital Requirements (Capital Buffers and Macro-prudential Measures) (Amendment) (EU Exit) Regulations 2020
- 76. The European Union Withdrawal (Consequential Modifications) (EU Exit) Regulations 2020
- 77. The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020
- 78. The Capital Requirements Regulation (Amendment) (EU Exit) Regulations 2021
- 79. The Money Laundering and Terrorist Financing (Amendment) (High-Risk Countries) Regulations 2021
- 80. The Solvency 2 (Credit Risk Adjustment) Regulations 2021
- 81. The Financial Markets and Insolvency (Transitional Provision) (EU Exit) (Amendment) Regulations 2021

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
1. The EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018					
<p>Made on 6 November 2018:</p> <p>The EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Access to Medical Reports Act 1988 – Administration of Justice Act 1985 – Agricultural Credits Act 1928 – Anti-terrorism, Crime and Security Act 2001 – Bank of England Act 1998 – Building Societies Act 1986 – Building Societies (Transfer of Business) Regulations 1998 – Charities Act 2011 – Companies (Authorised Minimum) Regulations 2008 		<p>Explanatory Memorandum FCA on its approach to the temporary permissions regime</p> <p>BoE on its approach to temporary permissions and recognitions</p> <p>Explanatory Memorandum Final Impact Assessment</p>	<p>This SI is further amended by:</p> <p>The Financial Services Contracts (Transitional and Saving Provision) (EU Exit) Regulations 2019 – made on 28 February 2019</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019 – made on 10 June 2019</p> <p>The Financial Services (Gibraltar) (Amendment) (EU Exit) Regulations 2019 – made on 15 March 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> – Dormant Bank and Building Society Accounts Act 2008 – Financial Services and Markets Act 2000 (FSMA) – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 – Financial Services and Markets Act 2000 (Stakeholder Products) Regulations 2004 – Health and Social Care Act 2012 – Health and Social Care (Community Health and Standards) Act 2003 – Housing Act 1985 – Individual Savings Account Regulations 1998 			<p>and laid on 5 September 2019</p> <p>Notification window for the temporary permissions regime for firms that will be solo-regulated by the FCA is now open (7 January 2019 – 30 October 2019):</p> <p>FCA press release – updated 28 May 2019</p> <p>FCA direction on notifications</p> <p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27 January 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> – Judicial Pensions and Retirement Act 1993 – Legal Services Act 2007 – Road Traffic Act 1988 – Social Security (Recovery of Benefits) Act 1997 – Solicitors Act 1974 – Solvency 2 Regulations 2015 – Terrorist Asset-Freezing etc. Act 2010 – Trustee Act 2000 – Welfare Reform and Work Act 2016 			
<h2>2. The Capital Requirements (Amendment) (EU Exit) Regulations 2018</h2>					
Made on 19 December 2018:	Made and in force.	<ul style="list-style-type: none"> – Capital Requirements (Capital Buffers and Macro- 	<ul style="list-style-type: none"> – Capital Requirements Regulation (CRR) (EU) No 575/2013 	HMT Guidance Explanatory Memorandum	This SI is amended by: The Capital Requirements (Amendment) (EU Exit) Regulations 2019 – made

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
<p>The Capital Requirements (Amendment) (EU Exit) Regulations 2018</p>		<p>prudential Measures) Regulations 2014</p> <ul style="list-style-type: none"> - Capital Requirements (Country-by-Country Reporting) Regulations 2013 - Capital Requirements Regulations 2013 - Regulated Covered Bonds Regulations 2008 	<ul style="list-style-type: none"> - Commission Delegated Regulation (EU) 1222/2014 - Commission Delegated Regulation (EU) 2015/61 		<p>and laid on 5 September 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p> <p>The Financial Holding Companies (Approval etc.) and Capital Requirements (Capital Buffers and Macro-prudential Measures) (Amendment) (EU Exit) Regulations 2020 – made on 26 November 2020</p> <p>The Capital Requirements Regulation (Amendment) (EU Exit) Regulations 2021</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
3. The Short Selling (Amendment) (EU Exit) Regulations 2018					
Made on 6 December 2018: The Short Selling (Amendment) (EU Exit) Regulations 2018	Made and in force.	<ul style="list-style-type: none"> Financial Services and Markets Act 2000 (FSMA) 	<ul style="list-style-type: none"> Commission Delegated Regulation (EU) No. 918/2012 Regulation (EU) No 236/2012 	HMT Guidance Explanatory Memorandum Impact Assessment Correction Slip	This SI is amended by: The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020
4. The Consumer Protection (Amendment etc.) (EU Exit) Regulations 2018					
Made on 6 December 2018: The Consumer Protection (Amendment etc.) (EU Exit) Regulations 2018	Made and in force.	<ul style="list-style-type: none"> Alternative Dispute Resolution for Consumer Disputes (Competent Authorities and Information) Regulations 2015 Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013 		Explanatory Memorandum Impact Assessment	This SI is subject to the made negative procedure. This SI is amended by: The Consumer Protection (Enforcement) (Amendment etc.) (EU Exit) Regulations 2020 – made on 25 November 2020

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - Consumer Protection from Unfair Trading Regulations 2008 - Consumer Rights Act 2015 - Consumer Rights (Payment Surcharges) Regulations 2012 - Crystal Glass (Descriptions) Regulations 1973 - Footwear (Indication of Composition) Labelling Regulations 1995 			
5. The Consumer Credit (Amendment) (EU Exit) Regulations 2018					
<p>Made on 27 September 2018:</p> <p>The Consumer Credit (Amendment) (EU Exit) Regulations 2018</p>	Made and in force.	<ul style="list-style-type: none"> - Consumer Credit Act 1974 - Consumer Credit (Disclosure of Information) Regulations 2010 		Explanatory Memorandum	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - Consumer Credit (Green Deal) Regulations 2012 - Financial Services Act 2012 (Consumer Credit) Order 2013 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
6. The Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019					
<p>Made on 28 February 2019:</p> <p>The Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Financial Services and Markets Act 2000 (FSMA) - Solvency 2 Regulations 2015 	<ul style="list-style-type: none"> - Commission Delegated Regulation (EU) No 2015/35 	<p>HMT Guidance</p> <p>Final Impact Assessment</p> <p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – made on 26 March 2019</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019 - made on 24 October 2019</p> <p>The Risk Transformation and Solvency 2 (Amendment) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made on 5 September 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
7. The Bank Recovery and Resolution and Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018					
<p>Made on 20 December 2018:</p> <p>The Bank Recovery and Resolution and Miscellaneous Provisions</p>	<p>Made and in force.</p>	<p>– Bank Recovery and Resolution (No. 2) Order 2014</p>		<p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
(Amendment) (EU Exit) Regulations 2018		<ul style="list-style-type: none"> – Bank Recovery and Resolution Order 2014 – Bank Recovery and Resolution Order 2016 – Banking Act 2009 – Banking Act 2009 (Banking Group Companies) Order 2014 – Banking Act 2009 (Mandatory Compensation Arrangements Following Bail-in) Regulations 2014 – Banking Act 2009 (Restriction of Partial Property Transfers) Order 2009 – Banking Act 2009 (Restriction of Partial Property Transfers) (Recognised 			<p>Regulations 2019 – made on 27 March 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Bank Recovery and Resolution (Amendment) (EU Exit) Regulations 2020 – made on 2 December 2020</p> <p>The Payment and Electronic Money Institution Insolvency Regulations 2021 – made on 17 June 2021</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Central Counterparties) Order 2014 – Banking Act 2009 (Restriction of Special Bail-in Provision, etc.) Order 2014 – Building Societies (Bail-in) Order 2014 – Financial Services (Banking Reform) Act 2013 – Insolvency Act 1986			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
8. The Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018					
<p>Made on 19 November 2018:</p> <p>The Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Banking Act 2009 - Electronic Money Regulations 2011 - Financial Services (Banking Reform) Act 2013 - Payment Services Regulations 2017 		<p>HMT Guidance</p> <p>Correction Slip</p> <p>Explanatory Memorandum</p> <p>Impact Assessment</p>	<p>This SI is amended by:</p> <p>The Financial Services Contracts (Transitional and Saving Provision) (EU Exit) Regulations 2019 – made on 28 February 2019</p> <p>(the insertions from this SI has the effect modifying the TPR regimes planned by UK financial regulators to widen their scope, allowing non-authorised firms meeting the prescribed criteria to run-off their financial contracts).</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019 – made on 10 June 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>Amendments) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27 January 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>
9. Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018					
<p>Made on 19 December 2018:</p> <p>The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Data Reporting Services Regulations 2017 – European Communities Act 1972 – Financial Services and Markets Act 2000 (Markets in 	<ul style="list-style-type: none"> – Commission Delegated Regulation (EU) 2017/565 supplementing Directive 2014/65/EU of the European Parliament and of 	<p>HMT Guidance Explanatory Memorandum Impact Assessment</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Financial Regulators' Powers (Technical</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Financial Instruments) Regulations 2017 – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001	the Council as regards organisational requirements and operating conditions for investment firms and defined terms – Commission Delegated Regulation (EU) 2017/567; Supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to definitions, transparency, portfolio compression and supervisory measures on product intervention and positions		Standards etc.) and Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2019 – made on 14 March 2019 The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019 – made on 24 October 2019 The Risk Transformation and Solvency 2 (Amendment) (EU Exit) Regulations 2019 – made and laid on 5 September 2019 The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made and laid on 5 September 2019 The Equivalence Determinations for

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
			<ul style="list-style-type: none"> – Regulation (EU) No 600/2014 of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012 		<p>Financial Services (Amendment etc.) (EU Exit) Regulations 2020 – made on 29 September 2020</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
<p>10. The Credit Transfers and Direct Debits in Euro (Amendment) (EU Exit) Regulations 2018</p>					
Made on 19 November 2018:	Made and in force.	<ul style="list-style-type: none"> – Payments in Euro (Credit Transfers 	<ul style="list-style-type: none"> – Regulation (EU) No 260/2012 (SEPA Regulation) 	<p>HMT Guidance</p> <p>Explanatory Memorandum</p>	This SI is amended by:

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
<p>The Credit Transfers and Direct Debits in Euro (Amendment) (EU Exit) Regulations 2018</p>		<p>and Direct Debits) Regulations 2012</p>		<p>Impact Assessment</p>	<p>The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – made on 26 March 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
<p>11. The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019</p>					
<p>Made on 13 February 2019: The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<p>– Credit Rating Agencies Regulations 2010</p>	<p>– Regulation (EC) No 1060/2009</p>	<p>HMT Guidance Explanatory Memorandum Final Impact Assessment</p>	<p>This SI is amended by: The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27th January 2020</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020 – made on 29 September 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Regulations 2020 – made on 26 November 2020

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
12. The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018					
<p>Made on 25 October 2018:</p> <p>The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Bank of England Act 1998 - Banking Act 2009 - Financial Services Act 2012 - Financial Services and Markets Act 2000 (FSMA) - Financial Services (Banking Reform) Act 2013 - Payment Card Interchange Fee Regulations 2015 		<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>Payment Accounts (Amendment) (EU Exit) Regulations 2019</p> <p>The Long-term Investment Funds (Amendment) (EU Exit) Regulations 2019 – made on 20 February 2019</p> <p>The Financial Regulators' Powers (Technical Standards etc.) and Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2019 – made on 14 March 2019</p> <p>The Money Market Funds (Amendment) (EU Exit) Regulations 2019 – made on 26 February 2019</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>(No. 3) Regulations 2019 – made on 24 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – laid on 25 July 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
<h3>13. The Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018</h3>					
<p>Made on 13 November 2018:</p> <p>The Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018</p>	<p>Made and in force.</p>	<p>– Financial Services and Markets Act 2000 (FSMA)</p>	<p>– Derivatives EMIR - Regulation (EU) No. 648/2012</p>	<p>Explanatory Memorandum</p> <p>Impact Assessment</p>	<p>This SI is amended by:</p> <p>Financial Service Contracts (Transitional and Saving Provision) (EU Exit) Regulations 2019 – made on 28 February 2019</p> <p>Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>Regulations 2019 – made on 29 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27th January 2020</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020
14. The Deposit Guarantee Scheme and Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018					
Made on 3 December 2018: The Deposit Guarantee Scheme and Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018	Made and in force.	<ul style="list-style-type: none"> – Deposit Guarantee Scheme Regulations 2015 – Financial Services Act 2012 – Financial Services and Markets Act 2000 (FSMA) 		HMT Guidance Explanatory Memorandum	This SI is amended by: The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020
15. The Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018					
Made on 6 December 2018: The Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018	Made and in force.		– Regulation (EU) No. 648/2012 (EMIR)	HMT Guidance Explanatory Memorandum Impact Assessment	This SI is amended by: The Financial Services Contracts (Transitional and Saving Provision) (EU Exit) Regulations 2019 – made on 28 February 2019

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – made on 29 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27 January 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Regulations 2020 – made on 26 November 2020

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
16. The Securitisation (Amendment) (EU Exit) Regulations 2019					
<p>Made on 25 March 2019:</p> <p>The Securitisation (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 	<ul style="list-style-type: none"> - Commission Delegated Regulation (EU) 2015/61 - Regulation (EC) No 1060/2009 - Regulation (EU) 2017/2401 - Regulation (EU) 2017/2402 - Regulation (EU) No 575/2013 - Regulation (EU) No 648/2012 	<p>HMT Guidance</p> <p>Explanatory Memorandum</p> <p>Correction Slip</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019 – made on 24 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – made on 29 October 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Over the Counter Derivatives, Central</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
<p>17. The Prospectus (Amendment etc.) (EU Exit) Regulations 2019</p>					
<p>Made and laid on 5 September 2019:</p> <p>The Prospectus (Amendment etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<p>– Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019</p>	<p>– Commission Delegated Regulation (EU) 2019/980</p>	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1099 576">– Financial Services and Markets Act 2000 (Financial Promotion) Order 2005 <li data-bbox="853 596 1099 756">– Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 <li data-bbox="853 777 1099 1002">– Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019 	<ul style="list-style-type: none"> <li data-bbox="1182 416 1406 507">– Commission Regulation (EC) 1569/2007 <li data-bbox="1182 528 1406 555">– EEA Agreement <li data-bbox="1182 571 1406 624">– Regulation (EU) No 2017/1129 		<p data-bbox="1787 416 2078 475">Regulations 2020 – made on 29 June 2020</p> <p data-bbox="1787 501 2085 699">The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p data-bbox="1787 724 2085 922">The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
18. The Market Abuse (Amendment) (EU Exit) Regulations 2019					
<p>Made on 18 February 2019:</p> <p>The Market Abuse (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Criminal Justice Act 1993 - Financial Services and Markets Act 2000 (FSMA) - Financial Services and Markets Act 2000 (Market Abuse) Regulations 2016 	<ul style="list-style-type: none"> - Commission Delegated Regulation (EU) 2016/522 - Regulation (EU) 596/2014 	<p>HMT Guidance Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – made on 26 March</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Regulations 2020 – made on 26 November 2020
19. The Central Securities Depositories (Amendment) (EU Exit) Regulations 2018					
<p>Made on 6 December 2018:</p> <p>The Central Securities Depositories (Amendment) (EU Exit) Regulations 2018</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Central Securities Depositories Regulations 2014 – Central Securities Depositories Regulations 2017 	<ul style="list-style-type: none"> – Regulation (EU) No 909/2014 – Regulation (EU) No. 236/2012 	<p>HMT Guidance</p> <p>Explanatory Memorandum</p> <p>Impact Assessment</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27th January 2020</p> <p>The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020 – made on 29 September 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Regulations 2020 – made on 17 November 2020
20. The Financial Markets and Insolvency (Amendment and Transitional Provision) (EU Exit) Regulations 2019					
<p>Made on 21 February 2019:</p> <p>The Financial Markets and Insolvency (Amendment and Transitional Provision) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – Banking Act 2009 – Companies Act 1989 – Financial Collateral Arrangements (No 2) Regulations 2003 – Financial Markets and Insolvency Regulations 1991 – Financial Markets and Insolvency (Settlement Finality) Regulations 1999 		<p>HMT Guidance</p> <p>Impact Assessment</p> <p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27th January 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Financial Markets and Insolvency (Transitional Provision) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					(Amendment) Regulations 2021
21. The Building Societies Legislation (Amendment) (EU Exit) Regulations 2018					
<p>Made on 14 November 2018:</p> <p>The Building Societies Legislation (Amendment) (EU Exit) Regulations 2018</p>	Made and in force.	<ul style="list-style-type: none"> – Building Societies (Accounts and Related Provisions) Regulations 1998 – Building Societies Act 1986 – Building Societies Act 1986 (Substitution of Specified Amounts and Modification of the Funding Limit Calculation) Order 2007 – Building Societies (Transfer of Business) Regulations 1998 – Mutual Societies (Transfers) Order 2009 		<p>Explanatory Memorandum</p> <p>Impact Assessment</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
22. The Friendly Societies (Amendment) (EU Exit) Regulations 2018					
<p>Made on 27 September 2018:</p> <p>The Friendly Societies (Amendment) (EU Exit) Regulations 2018</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Friendly Societies (Accounts and Related Provisions) Regulations 1994 - Friendly Societies Act 1992 		<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – made on 26 March 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
23. The Alternative Investment Fund Managers (Amendment etc.) (EU Exit) Regulations 2019					
<p>Made on 19 February 2019:</p> <p>The Alternative Investment Fund Managers (Amendment etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Alternative Investment Fund Managers (Amendment) Regulations 2013 	<ul style="list-style-type: none"> - Commission Delegated Regulation (EU) No 2015/514 - Commission Delegated Regulation (EU) No 231/2013 - Commission Implementing Regulation (EU) No 447/2013 - Commission Implementing Regulation (EU) No 448/2013 	<p>Explanatory Memorandum</p> <p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2019 – made on 19 February 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made on 5 September 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Regulations 2020 – made on 17 November 2020

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
24. The Investment Exchanges, Clearing Houses and Central Securities Depositories (Amendment) (EU Exit) Regulations 2019					
<p>Made on 25 March 2019:</p> <p>The Investment Exchanges, Clearing Houses and Central Securities Depositories (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 – Financial Services and Markets Act 2000 (Recognition Requirements for Investment Exchanges, Clearing Houses and Central Securities Depositories) Regulations 2001 – Finance Act 1986 – Finance Act 1991 – Income Tax (Manufactured Overseas Dividends) Regulations 1993 – Investment Bank Special Administration Regulations 2011 		<p>Explanatory Memorandum</p> <p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1077 612">– Stamp Duty and Stamp Duty Reserve Tax (Eurex Clearing AG) Regulations 2011 <li data-bbox="853 632 1077 828">– Stamp Duty and Stamp Duty Reserve Tax (LCH.Clearnet Limited) Regulations 2011 <li data-bbox="853 847 1077 1007">– Stamp Duty and Stamp Duty Reserve Tax (SIX X-CLEAR AG) Regulations 2011 <li data-bbox="853 1026 1077 1257">– Stamp Duty and Stamp Duty Reserve Tax (Cassa Di Compensazione E Garanzia S.p.A) Regulations 2011 <li data-bbox="853 1276 1077 1362">– Stamp Duty and Stamp Duty Reserve Tax 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(European Central Counterparty N.V.) Regulations 2014			
25. The Bank of England (Amendment) (EU Exit) Regulations 2018					
<p>Made on 4 December 2018:</p> <p>The Bank of England (Amendment) (EU Exit) Regulations 2018</p>	Made and in force.	<ul style="list-style-type: none"> – Bank of England Act 1998 – Bank of England Act 1998 (Macro-prudential Measures) (No 2) Order 2015 – Bank of England Act 1998 (Macro-prudential Measures) Order 2013 – Bank of England Act 1998 (Macro-prudential Measures) Order 2016 – Cash Ratio Deposits (Eligible Liabilities) Order 1998 		<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - Financial Services Act 2012 - Scottish and Northern Ireland Banknote Regulations 2009 			
26. The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019					
<p>Made on 27 March 2019:</p> <p>The Official Listing of Securities, Prospectus and Transparency (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - Companies Act 2006 - Financial Services and Markets Act 2000 (FSMA) 	<ul style="list-style-type: none"> - Commission Decision (EC) 2008/961 - Commission Regulation (EC) No 1569/2007 - Commission Regulation (EC) No. 809/2004 - Regulation (EU) No 2017/1129 	<p>Explanatory Memorandum</p> <p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Prospectus (Amendment etc.) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Cross-Border Distribution of Funds, Proxy Advisors, Prospectus and Gibraltar (Amendment) (EU Exit) Regulations 2019 – made and laid on 22 October 2019</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>Regulations 2020 – made on 29 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
<p>27. The Transparency of Securities Financing Transactions and of Reuse (Amendment) (EU Exit) Regulations 2019</p>					
<p>Made on 11 March 2019:</p> <p>The Transparency of Securities Financing Transactions and of Reuse (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<p>– Financial Services and Markets Act 2000 (Transparency of Securities Financing Transactions and of Reuse) Regulations 2016</p>	<p>– Regulation (EU) 2015/2365</p>	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
<p>28. The Financial Conglomerates and Other Financial Groups (Amendment etc.) (EU Exit) Regulations 2019</p>					
<p>Made on 14 February 2019:</p> <p>The Financial Conglomerates and Other Financial Groups (Amendment etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Capital Requirements Regulations 2013 – Financial Conglomerates and Other Financial Groups Regulations 2004 	<ul style="list-style-type: none"> – Regulation (EU) No 575/2013 	<p>Explanatory Memorandum</p> <p>HMT Guidance</p> <p>Correction Slip</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019 – made on 10 June 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Regulations 2020 – made on 17 November 2020

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
29. The Payment Accounts (Amendment) (EU Exit) Regulations 2019					
Made on 25 March 2019: The Payment Accounts (Amendment) (EU Exit) Regulations 2019	Made and in force.	<ul style="list-style-type: none"> – Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 – Payment Accounts Regulations 2015 		Explanatory Memorandum HMT Guidance	This SI is amended by: The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020
30. The Credit Institutions and Insurance Undertakings Reorganisation and Winding Up (Amendment) (EU Exit) Regulations 2019					
Made on 14 January 2019: The Credit Institutions and Insurance Undertakings Reorganisation and Winding Up (Amendment) (EU Exit) Regulations 2019	Made and in force.	<ul style="list-style-type: none"> – Credit Institutions (Reorganisation and Winding up) Regulations 2004 – Insurers (Reorganisation and Winding Up) (Lloyd's) Regulations 2005 – Insurers (Reorganisation and Winding Up) Regulations 2004 		Explanatory Memorandum	This SI is amended by: The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019 The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
31. The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2019					
<p>Made on 19 February 2019:</p> <p>The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Alternative Investment Fund Managers (Amendment etc.) (EU Exit) Regulations 2019 – Financial Services and Markets Act 2000 (Collective Investment Schemes) Order 2001 – Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001 – Financial Services and Markets Act 2000 (FSMA) – Financial Services and Markets Act 2000 (Transitional Provisions) 	<ul style="list-style-type: none"> – Commission Delegated Regulation (EU) 2016/438 – Commission Regulation (EU) 2010/583 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Consequential Amendments) Regulations 2020 – made on 27 January 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(Authorised Persons etc.) Order 2001</p> <ul style="list-style-type: none"> - Financial Services and Markets Act 2000 (Transitional Provisions) (Partly Completed Procedures) Order 2001 - Open-Ended Investment Companies Regulations 2001 - Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 - Rehabilitation of Offenders Act 1974 (Exclusions and Exceptions) (Scotland) Order 2013 - Rehabilitation of Offenders (Exceptions) Order 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(Northern Ireland) 1979 – Undertakings for Collective Investment in Transferable Securities Regulations 2011			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
32. The Long-term Investment Funds (Amendment) (EU Exit) Regulations 2019					
<p>Made on 20 February 2019:</p> <p>The Long-term Investment Funds (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<p>– Financial Regulators’ Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018</p>	<p>– Regulation (EU) 2015/760</p>	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019 – made on 10 June 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>
33. The Social Entrepreneurship Funds (Amendment) (EU Exit) Regulations 2019					
<p>Made on 20 February 2019:</p> <p>The Social Entrepreneurship Funds (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<p>– Alternative Investment Fund Managers Regulations 2013</p>	<p>– Regulation (EU) No 346/2013 of the European Parliament and the Council on European social entrepreneurship funds</p>	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
34. The Venture Capital Funds (Amendment) (EU Exit) Regulations 2019					
<p>Made on 20 February 2019:</p> <p>The Venture Capital Funds (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>		<p>– Regulation (EU) No 345/2013 of the European Parliament and the Council of 17 April 2013 on European venture capital funds</p>	<p>Explanatory Memorandum</p> <p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
35. The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019					
<p>Made on 20 February 2019:</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 (Over the Counter Derivatives, Central Counterparties and Trade Repositories) Regulations 2013 	<ul style="list-style-type: none"> – European Markets Infrastructure Regulation (EMIR) 	<p>Explanatory Memorandum</p> <p>Correction Slip</p> <p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – made on 29th October 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
36. The Benchmarks (Amendment and Transitional Provision) (EU Exit) Regulations 2019					
<p>Made on 25 March 2019:</p> <p>The Benchmarks (Amendment and</p>	<p>Made and in force.</p>		<p>– Commission Delegated Regulation (EU) 2018/64</p>	<p>HMT Guidance</p> <p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
Transitional Provision) (EU Exit) Regulations 2019			<ul style="list-style-type: none"> <li data-bbox="1182 416 1397 544">– Commission Delegated Regulation (EU) 2018/65 <li data-bbox="1182 560 1397 687">– Commission Delegated Regulation (EU) 2018/66 <li data-bbox="1182 703 1397 831">– Commission Delegated Regulation (EU) 2018/67 <li data-bbox="1182 847 1397 975">– Commission Implementing Regulation (EU) 2016/1368 <li data-bbox="1182 991 1397 1054">– Regulation (EU) 2016/1011 		<p data-bbox="1787 416 2085 576">Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – made on 29 October 2019</p> <p data-bbox="1787 600 2063 871">The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p data-bbox="1787 895 2069 1166">The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p data-bbox="1787 1190 2063 1358">The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
37. The Insurance Distribution (Amendment) (EU Exit) Regulations 2019					
<p>Made on 25 March 2019:</p> <p>The Insurance Distribution (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>		<ul style="list-style-type: none"> – Commission Delegated Regulation (EU) 2017/2358 – Commission Delegated Regulation (EU) 2017/2359 	<p>Explanatory Memorandum</p> <p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>
38. The Money Laundering and Transfer of Funds (Information) (Amendment) (EU Exit) Regulations 2019					
<p>Made on 13 February 2019:</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Money Laundering, 	<ul style="list-style-type: none"> – Regulation (EU) 2015/847 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
<p>The Money Laundering and Transfer of Funds (Information) (Amendment) (EU Exit) Regulations 2019</p>		<p>Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017</p> <ul style="list-style-type: none"> – Oversight of Professional Body Anti-Money Laundering and Counter Terrorist Financing Supervision Regulations 2017 			<p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>
<h3>39. The Money Market Funds (Amendment) (EU Exit) Regulations 2019</h3>					
<p>Made on 26 February 2019: The Money Market Funds (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – The Money Market Funds (Amendment) (EU Exit) Regulations 2019 	<ul style="list-style-type: none"> – Commission Delegated Regulation (EU) 2018/990 – Regulation (EU) 2017/1131 	<p>Explanatory Memorandum HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019 – made on 24 October 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Regulations 2020 – made on 17 November 2020
40. The Packaged Retail and Insurance-based Investment Products (Amendment) (EU Exit) Regulations 2019					
<p>Made on 27 February 2019:</p> <p>The Packaged Retail and Insurance-based Investment Products (Amendment) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – Packaged Retail and Insurance-based Investment Products Regulations 2017 	<ul style="list-style-type: none"> – Commission Delegated Regulation (EU) 2016/1904 – Regulation (EU) No 1286/2014 	<p>Explanatory Memorandum</p> <p>HMT Guidance</p>	<p>This SI is amended by:</p> <p>The Cross-Border Distribution of Funds, Proxy Advisors, Prospectus and Gibraltar (Amendment) (EU Exit) Regulations 2019 – made on 22 October 2019</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					Amendments (EU Exit) Regulations 2020 – made on 26 November 2020

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
41. The Interchange Fee (Amendment) (EU Exit) Regulations 2019					
Made on 13 February 2019: The Interchange Fee (Amendment) (EU Exit) Regulations 2019	Made and in force.	– Payment Card Interchange Fee Regulations 2015	– Regulation (EU) 2015/751	Explanatory Memorandum HMT Guidance	
42. The Financial Services (Distance Marketing) (Amendment and Savings Provisions) (EU Exit) Regulations 2019					
Made on 13 March 2019: The Financial Services (Distance Marketing) (Amendment and Savings Provisions) (EU Exit) Regulations 2019	Made and in force.	– Financial Services (Distance Marketing) Regulations 2004		Explanatory Memorandum HMT Guidance	This SI is amended by: The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020
43. The Mortgage Credit (Amendment) (EU Exit) Regulations 2019					
Made on 25 March 2019: The Mortgage Credit (Amendment) (EU Exit) Regulations 2019	Made and in force.	– Mortgage Credit Directive Order 2015		Explanatory Memorandum HMT Guidance	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
44. The Uncertificated Securities (Amendment and EU Exit) Regulations 2019					
<p>Made on 26 March 2019:</p> <p>The Uncertificated Securities (Amendment and EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Central Securities Depositories Regulations 2014 – Companies Act 2006 – Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001 – Financial Services and Markets Act 2000 (Excluded Activities and Prohibitions) Order 2014 – Financial Services and Markets Act 2000 (Exemption) Order 2001 – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 – Financial Services (Banking Reform) Act 2013 	<ul style="list-style-type: none"> – Regulation EU No. 236/2012 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - Stamp Duty Reserve Tax Regulations 1986 - Uncertificated Securities Regulations 2001 			
45. The Financial Services (Gibraltar) (Amendment) (EU Exit) Regulations 2019					
<p>Made on 15 March 2019:</p> <p>The Financial Services (Gibraltar) (Amendment) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> - Financial Services and Markets Act 2000 - Financial Services and Markets Act 2000 (Gibraltar) Order 2001 - EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018 		Explanatory Memorandum	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>
46. The Public Record, Disclosure of Information and Co-operation (Financial Services) (Amendment) (EU Exit) Regulations 2019					
<p>Made on 26 March 2019:</p> <p>The Public Record, Disclosure of Information</p>	Made and in force.	<ul style="list-style-type: none"> - Electronic Money Regulations 2011 	<ul style="list-style-type: none"> - Regulation (EU) 596/2014 	Explanatory Memorandum	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
<p>and Co-operation (Financial Services) (Amendment) (EU Exit) Regulations 2019</p>		<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 (Confidential Information) (Bank of England) (Consequential Provisions) Order 2001 – Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001 – Financial Services and Markets Act 2000 (FSMA) – Financial Services (Banking Reform) Act 2013 – Financial Services (Banking Reform) Act 2013 (Disclosure of Confidential Information) Regulations 2014 	<ul style="list-style-type: none"> – Regulation (EU) No 2015/2365 – Regulation (EU) No 236/2012 		<p>(Amendment) (EU Exit) (No. 3) Regulations 2019 – made on 24 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – made on 29 October 2019</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none">- Payment Services Regulations 2017- Payments in Euro (Credit Transfers and Direct Debits) Regulations 2012			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
47. The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019					
<p>Made on 22 March 2019:</p> <p>The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 – Financial Services and Markets Act 2000 (Financial Promotion) Order 2005 – The Financial Services and Markets Act 2000 (Exemption) Order 2001 – The Financial Services and Markets Act 2000 (Appointed Representatives) Regulations 2001 		<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – made on 26 March 2019</p> <p>The Risk Transformation and Solvency 2 (Amendment) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 647">– The Financial Services and Markets Act 2000 (Professions (Non-Exempt Activities) Order 2001 <li data-bbox="853 663 1093 863">– The Financial Services and Markets Act 2000 (Service of Notices) Regulations 2001 <li data-bbox="853 879 1093 1110">– The Financial Services and Markets Act 2000 (Variation of Threshold Conditions) Order 2001 <li data-bbox="853 1126 1093 1358">– The Financial Services and Markets Act 2000 (Own-initiative Power) (Overseas Regulators) Regulations 2001 			<p data-bbox="1787 416 2047 472">and laid on 5 September 2019</p> <p data-bbox="1787 504 2083 663">The Prospectus (Amendment etc.) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p data-bbox="1787 695 2069 959">The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p data-bbox="1787 991 2078 1182">The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p data-bbox="1787 1214 2083 1342">The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 719">– The Financial Services and Markets Act 2000 (Control of Business Transfers) (Requirements on Applicants) Regulations 2001 <li data-bbox="853 735 1093 967">– The Financial Services and Markets Act 2000 (Control of Business Done at Lloyd's) Order 2001 <li data-bbox="853 983 1093 1182">– The Financial Services and Markets Act 2000 (Controllers) (Exemption) Order 2009 <li data-bbox="853 1198 1093 1359">– The Financial Services and Markets Act 2000 (Prescribed Financial 			<p data-bbox="1787 416 2063 472">Regulations 2020 – made on 26 November 2020</p> <p data-bbox="1787 504 2078 663">The Bank Recovery and Resolution (Amendment) (EU Exit) Regulations 2020 – made on 2 December 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Institutions) Order 2013 – The Financial Services and Markets Act 2000 (Qualifying EU Provisions) Order 2013 – The Financial Services and Markets Act 2000 (Exercise of Powers under Part 4A) (Consultation with Home State Regulators) Regulations 2013 – The Financial Services and Markets Act 2000 (PRA-regulated Activities) Order 2013 – The Financial Services and Markets Act 2000 (Qualifying EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Provisions) (No. 2) Order 2013 – The Financial Services and Markets Act 2000 (Ring-fenced Bodies and Core Activities) Order 2014 – The Financial Services and Markets Act 2000 (Excluded Activities and Prohibitions) Order 2014 – The Financial Services and Markets Act 2000 (Relevant Authorised Persons) Order 2015 – Consumer Credit Act 1974 – Electronic Money Regulations 2011 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - Payment Services Regulations 2017 			
48. The Financial Services Contracts (Transitional and Saving Provision) (EU Exit) Regulations 2019					
<p>Made on 28 February 2019:</p> <p>The Financial Services Contracts (Transitional and Saving Provision) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> - Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018 - EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018 - Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018 		<p>Explanatory Memorandum</p> <p>Correction Slip</p>	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018 			
<p>49. The Companies, Limited Liability Partnerships and Partnerships (Amendment etc.) (EU Exit) Regulations 2019</p>					
<p>Made on 19 February 2019: The Companies, Limited Liability Partnerships and Partnerships (Amendment etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - The Companies Act 2006 - The Companies (Political Expenditure Exemption) Order 2007 - The Overseas Companies Regulations 2009 - The Companies (Disclosure of Address) Regulations 2009 - The Companies (Disclosure of Date of Birth 		<p>Explanatory Memorandum</p>	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Information) Regulations 2015 – The Register of People with Significant Control Regulations 2016 – The Scottish Partnerships (Register of People with Significant Control) Regulations 2017 – Insolvency Act 1986 – Employment Tribunals Act 1996 – Employment Rights Act 1996 – Employment Act 2002 – The Employment Appeal Tribunal Rules 1993 – The Limited Liability Partnerships 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(Accounts and Audit) (Application of Companies Act 2006) Regulations 2008</p> <ul style="list-style-type: none"> <li data-bbox="853 596 1093 756">– The Banking Act 2009 (Parts 2 and 3 Consequential Amendments) Order 2009 <li data-bbox="853 772 1093 1011">– The Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 <li data-bbox="853 1027 1093 1187">– The Companies (Companies Authorised to Register) Regulations 2009 <li data-bbox="853 1203 1093 1299">– The Agency Workers Regulations 2010 <li data-bbox="853 1315 1093 1374">– The Investment Bank Special 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Administration Regulations 2011 – The Employment Tribunals and the Employment Appeal Tribunal Fees Order 2013 – The Bank Recovery and Resolution (No 2) Order 2014			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
50. The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019					
<p>Made on 11 March 2019:</p> <p>The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>			<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – made on 27 March 2019</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019 – made on 24 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – made on 29 October 2019</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>Regulations 2019 – made and laid on 5 September 2019</p> <p>The Prospectus (Amendment etc.) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
					<p>Regulations 2020 – made on 29 September 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>
51. The Competition (Amendment etc.) (EU Exit) Regulations 2019					
<p>Made on 22 January 2019:</p> <p>The Competition (Amendment etc.) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Competition Act 1998 – Enterprise Act 2002 – Company Directors Disqualification Act 1986 – Gas Act 1986 	<ul style="list-style-type: none"> – Council Regulation (EC) 169/2009 – Commission Regulation (EC) 906/2009 – Commission Regulation (EU) 330/2010 	<p>Explanatory Memorandum</p>	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> – Electricity Act 1989 – Water Industry Act 1991 – Electricity (Northern Ireland) Order 1992 – Railways Act 1993 – Gas (Northern Ireland) Order 1996 – Financial Services and Markets Act 2000 – Transport Act 2000 – Company Directors Disqualification (Northern Ireland) Order 2002 – Communications Act 2003 – Water and Sewerage Services (Northern 	<ul style="list-style-type: none"> – Commission Regulation (EU) 461/2010 – Commission Regulation (EU) 1217/2010 – Commission Regulation (EU) 1218/2010 – Commission Regulation (EU) 316/2014 – 		

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Ireland) Order 2006 – Health and Social Care Act 2012 – Civil Aviation Act 2012 – Financial Services (Banking Reform) Act 2013 – Competition Act 1998 (Small Agreements and Conduct of Minor Significance) Regulations 2000 – Competition Act 1998 (Determination of Turnover for Penalties) Order 2000 – Competition Act 1998 (Appealable Decisions and Revocation of Notification of Excluded 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Agreements) Regulations 2004 – Competition Act 1998 (Competition and Markets Authority’s Rules) Order 2014 – Competition Act 1998 (Concurrency) Regulations 2014 – Enterprise Act 2002 (Anticipated Mergers) Order 2003 – Enterprise Act 2002 (Mergers) (Interim Measures: Financial Penalties) (Determination of Control and Turnover) Order 2014 – Competition Appeal Tribunal Rules 2015 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1088 580">– EEC Merger Control (Distinct Market Investigations) Regulations 1990 <li data-bbox="853 596 1088 826">– Restriction on Agreements and Conduct (Specified Domestic Electrical Goods) Order 1998 <li data-bbox="853 842 1088 1007">– Competition Act 1998 and other Enactments (Amendment) Regulations 2004 <li data-bbox="853 1023 1088 1150">– Water Mergers (Modification of Enactments) Regulations 2004 <li data-bbox="853 1166 1088 1329">– Designation of the Competition and Markets Authority as a National Competition 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Authority Regulations 2014			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
52. The Financial Regulators' Powers (Technical Standards etc.) and Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2019					
<p>Made on 14 March 2019:</p> <p>The Financial Regulators' Powers (Technical Standards etc.) and Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 – Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 		Explanatory Memorandum	
53. The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019					
<p>Made on 26 March 2019:</p> <p>The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – Credit Institutions (Reorganisation and Winding up) Regulations 2004 – Credit Transfers and Direct Debits in Euro (Amendment) (EU 		Explanatory Memorandum	<p>This SI is amended by:</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – made</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2018 – Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 – Financial Services and Markets Act 2000 (Financial Promotion) Order 2005 – Friendly Societies (Amendment) (EU Exit) Regulations 2018 – Insurers (Reorganisation and Winding Up) (Lloyd’s) Regulations 2005 – Insurers (Reorganisation and Winding Up) Regulations 2004 			<p>and laid on 5 September 2019</p> <p>The Prospectus (Amendment etc.) (EU Exit) Regulations 2019 – made and laid on 5 September 2019</p> <p>The Cross-Border Distribution of Funds, Proxy Advisors, Prospectus and Gibraltar (Amendment) (EU Exit) Regulations 2019 – made and laid on 22 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit)</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1099 544">– Market Abuse (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 560 1099 719">– Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019 			<p data-bbox="1787 416 2063 472">Regulations 2020 – made on 29 June 2020</p> <p data-bbox="1787 504 2078 695">The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p data-bbox="1787 727 2078 919">The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
54. The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019					
<p>Made on 27 March 2019:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – The Insolvency Act 1986 – The Financial Services and Markets Act 2000 – Income Tax Act 2007 – Corporation Tax Act 2009 – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 – The Financial Services and Markets Act 2000 (Exemption) Order 2001 – The Building Societies Act 1986 (Modification of the Lending Limit and Funding Limit 	<ul style="list-style-type: none"> – Regulation (EU) No 648/2012 – Regulation (EU) No 575/2013 – Commission Delegated Regulation (EU) 2015/35 – Regulation (EU) 2017/1131 – Commission Delegated Regulation (EU) 2017/2358 – Commission Delegated Regulation (EU) 2017/2359 – Regulation (EU) 2017/2402 	<p>Explanatory Memorandum</p>	<p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No.3) Regulations 2019 – made on 24 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 – made on 29 October 2019</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Equivalence Determinations for Financial Services</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Calculations) Order 2004 – The Financial Services and Markets Act 2000 (Prescribed Financial Institutions) Order 2013 – The Payment to Treasury of Penalties (Enforcement Costs) Order 2013 – The Small and Medium Sized Business (Credit Information) Regulations 2015 – The Financial Services and Markets Act 2000 (Benchmarks) Regulations 2018 – The Alternative Investment Fund Managers 			<p>(Amendment etc.) (EU Exit) Regulations 2020 – made on 29 September 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(Amendment etc.) (EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> - The Bank of England (Amendment) (EU Exit) Regulations 2018 - The Central Securities Depositories (Amendment) (EU Exit) Regulations 2018 - The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 - The Bank Recovery and Resolution and Miscellaneous Provisions (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2018 – The Credit Institutions and Insurance Undertakings Reorganisation and Winding Up (Amendment) (EU Exit) Regulations 2019 – The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 – The Financial Markets and Insolvency (Amendment and Transitional Provision) (EU Exit) Regulations 2019 – The Over the Counter 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> <li data-bbox="853 767 1099 935">– The Solvency 2 and Insurance (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 951 1099 1118">– The Credit Rating Agencies (Amendment, etc.) (EU Exit) Regulations 2019 <li data-bbox="853 1134 1099 1327">– The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(EU Exit) Regulations 2019			
55. The Electronic Commerce and Solvency 2 (Amendment etc.) (EU Exit) Regulations 2019					
<p>Made on 24 October 2019:</p> <p>The Electronic Commerce and Solvency 2 (Amendment etc.) (EU Exit) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 – Electronic Commerce Directive (Financial Services and Markets) Regulations 2002 – Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001 – Financial Services and Markets Act 	<ul style="list-style-type: none"> – Commission Delegated Regulation (EU) No 2015/35 	Explanatory Memorandum	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019 - made on 24 October 2019</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		2000 (Rights of Action) Regulations 2001 – Financial Services and Markets Act 2000 (Financial Promotion) Order 2005			
56. The Financial Guidance and Claims Act 2018 (Naming and Consequential Amendments) Regulations 2019					
Made on 26 February 2019: The Financial Guidance and Claims Act 2018 (Naming and Consequential Amendments) Regulations 2019	Made and in force.	<ul style="list-style-type: none"> — Public Records Act 1958 — Parliamentary Commissioner Act 1967 — House of Commons Disqualification Act 1975 — Northern Ireland Assembly Disqualification Act 1975 — Pension Schemes Act 1993 		Explanatory Memorandum	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> — Pension Schemes (Northern Ireland) Act 1993 — Financial Services and Markets Act 2000 — Freedom of Information Act 2000 — Equality Act 2010 — Financial Services Act 2012 — Financial Guidance and Claims Act 2018 — Housing (Right to Buy) (Prescribed Forms) Regulations 1986 — Personal and Occupational Pension Schemes (Pensions Ombudsman) 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(Procedure) Rules 1995</p> <ul style="list-style-type: none"> — Personal and Occupational Pension Schemes (Pensions Ombudsman) (Procedure) Rules (Northern Ireland) 1995 — Occupational Pension Schemes (Transfer Values) Regulations 1996 — Occupational Pension Schemes (Transfer Values) Regulations (Northern Ireland) 1996 — Government Resources and Accounts Act 2000 (Audit of Non-profit-making 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Companies) Order 2009</p> <ul style="list-style-type: none"> — Occupational Pension Schemes (Internal Dispute Resolution Procedures Consequential and Miscellaneous Amendments) Regulations 2008 — Occupational Pension Schemes (Internal Dispute Resolution Procedures) (Consequential and Miscellaneous Amendments) Regulations (Northern Ireland) 2008 — Local Government (Discretionary Payments) (Injury 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Allow ances) Regulations 2011 — Local Government Pension Scheme Regulations 2013 — Occupational and Personal Pension Schemes (Disclosure of Information) Regulations 2013 — Occupational and Personal Pension Schemes (Disclosure of Information) Regulations (Northern Ireland) 2014 — Local Government Pension Scheme Regulations (Northern Ireland) 2014 — Company, Limited Liability 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Partnership and Business (Names and Trading Disclosures) Regulations 2015</p> <ul style="list-style-type: none"> — National Assembly for Wales (Disqualification) Order 2015 — Payment Accounts Regulations 2015 — Local Government Pension Scheme (Scotland) Regulations 2018 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
57. The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019					
<p>Made on 10 June 2019:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018 - Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018 - Financial Conglomerates and Other Financial Groups (Amendment etc.) (EU Exit) Regulations 2019 - Long-term Investment Funds 	<ul style="list-style-type: none"> - Commission Delegated Regulation (EU) 2015/61 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(Amendment) (EU Exit) Regulations			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
58. The Capital Requirements (Amendment) (EU Exit) Regulations 2019					
<p>Made and laid on 5 September 2019:</p> <p>The Capital Requirements (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Capital Requirements (Amendment) (EU Exit) Regulations 2018 – Capital Requirements (Capital Buffers and Macro-prudential Measures) Regulations 2014 – Capital Requirements (Country-by-Country Reporting) Regulations 2013 – Capital Requirements Regulations 2013 – Regulated Covered Bonds Regulations 2008 	<ul style="list-style-type: none"> – Liquidity Commission Delegated Regulation (EU) No 575/2013 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
59. The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019					
<p>Made and laid on 5 September 2019:</p> <p>The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – The Financial Services and Markets Act 2000 – The Banking Act 2009 – The Electronic Money Regulations 2011 – The Payment Services Regulations 2017 – The EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018 – The Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU 		<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 – made on 29 June 2020</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2018 – The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 – The Credit Institutions (Reorganisation and Winding up) Regulations 2004 – The Banking Act 2009 (Restriction of Partial Property Transfers) Order 2009 – The Banking Act 2009 (Banking Group Companies) Order 2014 – The Bank Recovery and Resolution (No. 2) Order 2014 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1099 647">– The Financial Regulators’ Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 <li data-bbox="853 663 1099 863">– The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 879 1099 999">– The Market Abuse (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 1023 1099 1222">– The Alternative Investment Fund Managers (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 1238 1099 1358">– The Over the Counter Derivatives, Central 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> - The Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019 - The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019 - The Benchmarks (Amendment and Transitional Provision) (EU Exit) Regulations 2019 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> – The Securitisation (Amendment) (EU Exit) Regulations 2019 – The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 			
60. The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019					
<p>Made on 24 October 2019:</p> <p>The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – The Criminal Justice Act 1993 – Insider Dealing (Securities and Regulated Markets) Order 1994 – The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017 – The Data Reporting 	<ul style="list-style-type: none"> – Commission Implementing Decision (EU) 2019/541 	Explanatory Memorandum	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Services Regulations 2017</p> <ul style="list-style-type: none"> <li data-bbox="853 491 1099 655">– The Electronic Commerce and Solvency 2 (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 703 1099 943">– The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019 <li data-bbox="853 991 1099 1222">– The Financial Regulators' Powers (Technical Standards etc.) (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 1238 1099 1366">– The Markets in Financial Instruments (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2018 – The Money Market Funds (Amendment) (EU Exit) Regulations 2019 – The Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019 – The Securitisation (Amendment) (EU Exit) Regulations 2019 – The Public Record, Disclosure of Information and Co-operation (Financial Services) (Amendment) (EU Exit) Regulations 2019 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> – The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 			
61. The Risk Transformation and Solvency 2 (Amendment) (EU Exit) Regulations 2019					
<p>Made and laid on 5 September 2019:</p> <p>The Risk Transformation and Solvency 2 (Amendment) (EU Exit) Regulations 2019</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 – Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 – Risk Transformation Regulations 2017 – Solvency 2 and Insurance (Amendment, etc.) 	<ul style="list-style-type: none"> – Commission Delegated Regulation (EU) No 2015/35 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 – made on 17 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(EU Exit) Regulations 2019			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
62. The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019					
<p>Made on 29 October 2019:</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019</p>	Made and in force.	<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 – Financial Services and Markets Act 2000 (Qualifying EU Provisions) Order 2013 – Financial Regulators’ Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 – Central Counterparties (Amendment, etc., and Transitional 	<ul style="list-style-type: none"> – Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – made on 24 June 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Provision) (EU Exit) Regulations 2018 – Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018 – Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019 – Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc.) 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(EU Exit) Regulations 2019 <ul style="list-style-type: none"> <li data-bbox="853 491 1093 655">– Benchmarks (Amendment and Transitional Provision) (EU Exit) Regulations 2019 <li data-bbox="853 703 1093 831">– Securitisation (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 847 1093 1134">– Public Record, Disclosure of Information and Co-operation (Financial Services) (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 1166 1093 1358">– International Accounting Standards and European Public Limited Liability Company 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(Amendment etc.) (EU Exit) Regulations 2019 – Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019			
63. The Cross-Border Distribution of Funds, Proxy Advisors, Prospectus and Gibraltar (Amendment) (EU Exit) Regulations 2019					
Made and laid on 22 October 2019: The Cross-Border Distribution of Funds, Proxy Advisors, Prospectus and Gibraltar (Amendment) (EU Exit) Regulations 2019	Made and in force.	– Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019 – Packaged Retail and Insurance- based Investment Products (Amendment) (EU	– Regulation (EU) No 2017/1129	Explanatory Memorandum	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Exit) Regulations 2019 – Proxy Advisors (Shareholders' Rights) Regulations 2019			
64. The Financial Services (Consequential Amendments) Regulations 2020					
Made on 27th January 2020 and laid on 28th January 2020: The Financial Services (Consequential Amendments) Regulations 2020	Made and in force.	<ul style="list-style-type: none"> – The Electronic Money Regulations 2011 – The Alternative Investment Fund Managers Regulations 2013 – The Payment Services Regulations 2017 – The Data Reporting Services Regulations 2017 – The EEA Passport Rights (Amendment, etc., and Transitional 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Provisions) (EU Exit) – Regulations 2018 – The Central Counterparties (Amendment, etc, and Transitional Provisions) (EU Exit) Regulations 2018 – The Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018 – The Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 580">– The Central Securities Depositories (Amendment) (EU Regulations) 2018 <li data-bbox="853 596 1093 761">– The Credit Rating Agencies (Amendments etc.) (EU Exit) Regulations 2019 <li data-bbox="853 777 1093 941">– The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 957 1093 1121">– The Financial Markets and Insolvency (Amendment and Transitional Provision) (EU Exit) Regulations 2019 			
65. The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020					

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
<p>Made on 24 June 2020:</p> <p>The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – The Financial Services and Markets Act 2000 – The Financial Regulators’ Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 – The Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018 – The Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018 – The Over the Counter Derivatives, 	<ul style="list-style-type: none"> – EMIR 	<ul style="list-style-type: none"> – Explanatory Memorandum 	<p>This SI is amended by:</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> - The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc.) (EU Exit) Regulations 2019 - The Transparency of Securities Financing Transactions and of Reuse (Amendment) (EU Exit) Regulations 2019 - The Benchmarks (Amendment and Transitional 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Provision) (EU Exit) Regulations 2019 – The Securitisation (Amendment) (EU Exit) Regulations 2019 – The Investment Exchanges, Clearing Houses and Central Securities Depositories (Amendment) (EU Exit) Regulations 2019 – The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – The International Accounting Standards and European Public Limited-Liability Company 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(Amendment etc.) (EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> - The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 - The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment etc., and Transitional Provision) (EU Exit) (No.2) Regulations 2019 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
66. The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020					
<p>Made on 29 June 2020:</p> <p>The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – The Financial Services and Markets Act 2000 (Qualifying EU Provisions) Order 2013 – The Financial Services and Markets Act 2000 (Qualifying EU Provisions) (No. 2) Order 2013 – The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 – The Financial Regulators’ Powers (Technical 	<ul style="list-style-type: none"> – Regulation (EU) No 2017/1129 – Commission Delegated Regulation (EU) 2019/819 – Commission Delegated Regulation (EU) 2019/820 – Regulation (EU) 2019/1156 – Regulation (EU) 2019/2088 – Regulation (EU) 2019/2175 – Regulation (EU) 2019/2176 	<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020 – made on 29 September 2020</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 – The Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018 – The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 – The Money Laundering and Transfer of Funds (Information) (Amendment) (EU Exit) Regulations 2019 – The Credit Rating Agencies (Amendment etc.)			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> <li data-bbox="853 491 1099 756">– The Packaged Retail and Insurance-based Investment Products (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 772 1099 1037">– The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019 <li data-bbox="853 1053 1099 1254">– The Benchmarks (Amendment and Transitional Provision) (EU Exit) Regulations 2019 <li data-bbox="853 1270 1099 1362">– The Gibraltar (Miscellaneous Amendments) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2019 – The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019 – The Proxy Advisors (Shareholders' Rights) Regulations 2019 – The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 – The Prospectus (Amendment etc.) (EU Exit) Regulations 2019 			
67. The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020					

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
<p>Made on 29 September 2020:</p> <p>The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> – The Central Securities Depositories (Amendment) (EU Exit) Regulations 2018 – The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 – The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019 – The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019 – The Financial Services 		<p>Explanatory Memorandum</p>	<p>This SI is amended by:</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020 – made on 26 November 2020</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		(Miscellaneous) (Amendment) (EU Exit) Regulations 2019 – The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020			
68. The Cyber (Sanctions) (EU Exit) Regulations 2020					
Made on 15 June 2020, and laid on 17 June 2020: The Cyber (Sanctions) (EU Exit) Regulations 2020	Made and laid. The regulations will come into force in accordance with regulations made by the Secretary of State under Section 56 of the Sanctions and Anti-Money Laundering Act 2018.				This SI is amended by: The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 4) Regulations 2020 – made on 3 September 2020
69. The Money Laundering and Terrorist Financing (Amendment) (EU Exit) Regulations 2020					
Made on 14 September 2020, and laid on 15 September 2020: The Money Laundering and Terrorist Financing	Made and laid; partly in force. Except as specified, Parts 1 to 4 of the Regulations are in force.	– The Money Laundering, Terrorist Financing and Transfer of Funds (Information		Explanatory Memorandum	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
(Amendment) (EU Exit) Regulations 2020	Regulations 5 and 7(4) come into force on 10 March 2022.	on the Payer Regulations 2017			
70. The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 4) Regulations 2020					
<p>Made on 3 September 2020 and laid on 8 September 2020:</p> <p>The Sanctions (EU Exit) (Miscellaneous Amendments) (No. 4) Regulations 2020</p>	<p>Made and laid.</p> <p>These regulations come into force in accordance with regulations made by the Secretary of State under section 56 of the Sanctions and Anti-Money Laundering Act 2018.</p>	<ul style="list-style-type: none"> – The Iran (Sanctions) (Human Rights) (EU Exit) Regulations 2019 – The Venezuela (Sanctions) (EU Exit) Regulations 2019 – The Burma (Sanctions) (EU Exit) Regulations 2019 – The Republic of Guinea-Bissau (Sanctions) (EU Exit) Regulations 2019 – The Republic of Belarus (Sanctions) (EU 		<p>Explanatory Memorandum</p> <p>Correction Slip</p>	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Exit) Regulations 2019 – The Zimbabwe (Sanctions) (EU Exit) Regulations 2019 – The Chemical Weapons (Sanctions) (EU Exit) Regulations 2019 – The Syria (Sanctions) (EU Exit) Regulations 2019 – The Russia (Sanctions) (EU Exit) Regulations 2019 – The Burundi (Sanctions) (EU Exit) Regulations 2019 – The Guinea (Sanctions) (EU Exit) Regulations 2019			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - The Cyber (Sanctions) (EU Exit) Regulations 2020 - The Bosnia and Herzegovina (Sanctions) (EU Exit) Regulations 2020 - The Nicaragua (Sanctions) (EU Exit) Regulations 2020 			
71. The Consumer Protection (Enforcement) (Amendment etc.) (EU Exit) Regulations 2020					
<p>Made on 25 November 2020:</p> <p>The Consumer Protection (Enforcement) (Amendment etc.) (EU Exit) Regulations 2020</p>	<p>Made and in force.</p>	<ul style="list-style-type: none"> - The Enterprise Act 2002 - The Consumer Protection (Enforcement) (Amendment etc.) (EU Exit) Regulations 2019 - The Consumer Protection (Amendment etc.) (EU Exit) Regulations 2018 		<p>Explanatory Memorandum</p>	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
72. The Sanctions (EU Exit) (Consequential Provisions) (Amendment) Regulations 2020					
<p>Made on 12 November 2020:</p> <p>The Sanctions (EU Exit) (Consequential Provisions) (Amendment) Regulations 2020</p>	<p>Made.</p> <p>These Regulations come into force in accordance with regulations made by the Secretary of State under section 56 of the Sanctions and Anti-Money Laundering Act 2018.</p>	<ul style="list-style-type: none"> – The ISIL (Da'esh) and Al-Qaida (United Nations Sanctions) (EU Exit) Regulations 2019 – The Counter-Terrorism (International Sanctions) (EU Exit) Regulations 2019 – The Sanctions and Anti-Money Laundering Act 2018 – The Counter-Terrorism (Sanctions) (EU Exit) Regulations 2019 – The Sanctions and Anti-Money Laundering Act 2018 		<p>Draft Explanatory Memorandum</p>	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - The Electronic Money Regulations 2011 - The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 			
73. The Bank Recovery and Resolution (Amendment) (EU Exit) Regulations 2020					
<p>Made on 2 December 2020:</p> <p>The Bank Recovery and Resolution (Amendment) (EU Exit) Regulations 2020</p>	<p>Made and in force.</p> <p>Part 5 ceased to have effect on IP completion day.</p>	<ul style="list-style-type: none"> - Financial Services and Markets Act 2000 - Banking Act 2009 - Financial Markets and Insolvency (Settlement Finality) Regulations 1999 - Credit Institutions (Reorganisation and Winding up) Regulations 2004 - Banking Act 2009 (Restriction of Special Bail-in 		<p>Explanatory Memorandum</p> <p>De minimis assessment</p> <p>Transposition Note</p>	<p>This SI is amended by:</p> <p>The Payment and Electronic Money Institution Insolvency Regulations 2021 – made on 17 June 2021</p>

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Provisions, etc) Order 2014 – Insolvent Partnerships Order 1994 – Insolvent Partnerships Order (Northern Ireland) 1995 – Bank Recovery and Resolution (No.2) Order 2014 – Housing Act 1996 – Housing and Regeneration Act 2008 – Bankruptcy (Scotland) Act 2016 – The Bank Recovery and Resolution and Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> - The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 			
74. The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020					
<p>Made on 26 November 2020:</p> <p>The Securities Financing Transactions, Securitisation and Miscellaneous Amendments (EU Exit) Regulations 2020</p>	Made and in force.	<ul style="list-style-type: none"> - Insolvency Act 1986 - Financial Services and Markets Act 2000 - Banking Act 2009 - The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 - The Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001 	<ul style="list-style-type: none"> - Regulation (EC) No 924/2009 - Regulation (EU) No 231/2013 - Regulation (EU) No 575/2013 - Regulation (EU) 2015/2365 - Regulation (EU) 2019/877 - Regulation (EU) 2019/2088 - Regulation (EU) 2020/852 	Explanatory Memorandum	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 580">– The Financial Services and Markets Act 2000 (Benchmarks) Regulations 2018 <li data-bbox="853 596 1099 831">– The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 <li data-bbox="853 847 1099 1082">– The Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018 <li data-bbox="853 1098 1093 1332">– The Credit Transfers and Direct Debits in Euro (Amendment) (EU Exit) Regulations 2018 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 683">– The Deposit Guarantee Scheme and Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 699 1093 930">– The Trade Repositories (Amendment and Transitional Provision) (EU Exit) Regulations 2018 <li data-bbox="853 946 1093 1114">– The Capital Requirements (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 1129 1093 1329">– The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 580">– The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 596 1093 724">– The Market Abuse (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 740 1093 1139">– The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019 <li data-bbox="853 1155 1093 1362">– The Packaged Retail and Insurance-based Investment Products (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2019 – The Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019 – The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019 – The Transparency of Securities Financing Transactions and of Reuse (Amendment) (EU Exit) Regulations 2019 – The Financial Services and Markets Act 2000 (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2019 – The Benchmarks (Amendment and Transitional Provision) (EU Exit) Regulations 2019 – The Securitisation (Amendment) (EU Exit) Regulations 2019 – The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 – The Public Record, Disclosure of Information and Co-operation (Financial Services) (Amendment) (EU Exit) Regulations 2019 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1099 719">– The International Accounting Standards and European Public Limited-Liability Company (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 735 1099 975">– The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 991 1099 1182">– The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 1198 1099 1358">– The Capital Requirements (Amendment) (EU Exit) Regulations 2019 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 544">– The Prospectus (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 560 1099 967">– The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) (No. 2) Regulations 2019 <li data-bbox="853 983 1093 1182">– The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020 <li data-bbox="853 1198 1055 1390">– The Over the Counter Derivatives, Central Counterparties and Trade 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2020 – The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020			
75. The Financial Holding Companies (Approval etc.) and Capital Requirements (Capital Buffers and Macro-prudential Measures) (Amendment) (EU Exit) Regulations 2020					
Made on 26 November 2020: The Financial Holding Companies (Approval etc.) and Capital Requirements (Capital Buffers and Macro-prudential Measures) (Amendment) (EU Exit) Regulations 2020	Made and in force.	<ul style="list-style-type: none"> – Financial Services and Markets Act 2000 – Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 – Capital Requirements Regulations 2013 – Financial Services and Markets Act 		Explanatory Memorandum Correction Slip Transposition Note	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		2000 (Disclosure of Confidential Information) Regulations 2001 – Capital Requirements Regulations 2013 – Bank of England Act 1998 (Macro-prudential Measures) (No 2) Order 2015 – Capital Requirements (Amendment) (EU Exit) Regulations 2018			
76. European Union Withdrawal (Consequential Modifications) (EU Exit) Regulations 2020					
Made on 4 December 2020: The European Union Withdrawal (Consequential Modifications) (EU Exit) Regulations 2020	Made and in force.	– Interpretation Act 1978 – European Union (Withdrawal) Act 2018 – European Union (Withdrawal) Act		Explanatory Memorandum Correction Slip	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		2018 (Consequential Modifications and Repeals and Revocations) (EU Exit) Regulations 2019 – Interpretation and Legislative Reform (Scotland) Act 2010 – Interpretation Act (Northern Ireland) 1954			
77. The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020					
Made on 17 November 2020: The Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020	Made and in force.	– The EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018 – The Friendly Societies (Amendment) (EU Exit) Regulations 2018		Explanatory Memorandum	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1099 647">– The EEA Passport Rights (Amendment, etc., and Transitional Provisions) (EU Exit) Regulations 2018 <li data-bbox="853 663 1099 895">– The Central Counterparties (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2018 <li data-bbox="853 911 1099 1142">– The Building Societies Legislation (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 1158 1099 1358">– The Credit Transfers and Direct Debits in Euro (Amendment) (EU Exit) Regulations 2018 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 715">– The Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018 <li data-bbox="853 735 1093 895">– The Bank of England (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 916 1093 1107">– The Central Securities Depositories (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 1128 1093 1251">– The Short Selling (Amendment) (EU Exit) Regulations 2018 <li data-bbox="853 1272 1093 1362">– The Bank Recovery and Resolution and 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Miscellaneous Provisions (Amendment) (EU Exit) Regulations 2018</p> <ul style="list-style-type: none"> - The Capital Requirements (Amendment) (EU Exit) Regulations 2018 - The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 - The Credit Institutions and Insurance Undertakings Reorganisation and Winding Up (Amendment) (EU Exit) Regulations 2019 - The Money Laundering and 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>Transfer of Funds (Information) (Amendment) (EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> - The Financial Conglomerates and Other Financial Groups (Amendment etc.) (EU Exit) Regulations 2019 - The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019 - The Market Abuse (Amendment) (EU Exit) Regulations 2019 - The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2019 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> <li data-bbox="853 416 1093 616">– The Alternative Investment Fund Managers (Amendment etc.) (EU Exit) Regulations 2019 <li data-bbox="853 632 1093 791">– The Venture Capital Funds (Amendment) (EU Exit) Regulations 2019 <li data-bbox="853 807 1093 1214">– The Over the Counter Derivatives, Central Counterparties and Trade Repositories (Amendment, etc., and Transitional Provision) (EU Exit) Regulations 2019 <li data-bbox="853 1230 1093 1321">– The Long-term Investment Funds (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2019 – The Financial Markets and Insolvency (Amendment and Transitional Provision) (EU Exit) Regulations 2019 – The Social Entrepreneurship Funds (Amendment) (EU Exit) Regulations 2019 – The Money Market Funds (Amendment) (EU Exit) Regulations 2019 – The Packaged Retail and Insurance-based Investment Products (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2019 – The Solvency 2 and Insurance (Amendment, etc.) (EU Exit) Regulations 2019 – The European Union Budget, and Economic and Monetary Policy (EU Exit) Regulations 2019 – The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019 – The Transparency of Securities Financing Transactions and of Reuse (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<ul style="list-style-type: none"> Exit) Regulations 2019 – The Financial Services (Distance Marketing) (Amendment and Savings Provisions) (EU Exit) Regulations 2019 – The Financial Services (Gibraltar) (Amendment) (EU Exit) Regulations 2019 – The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 – The Benchmarks (Amendment and Transitional Provision) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Exit) Regulations 2019 – The Securitisation (Amendment) (EU Exit) Regulations 2019 – The Payment Accounts (Amendment) (EU Exit) Regulations 2019 – The Investment Exchanges, Clearing Houses and Central Securities Depositories (Amendment) (EU Exit) Regulations 2019 – The Insurance Distribution (Amendment) (EU Exit) Regulations 2019 – The Uncertificated Securities			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(Amendment and EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> - The Gibraltar (Miscellaneous Amendments) (EU Exit) Regulations 2019 - The Public Record, Disclosure of Information and Co-operation (Financial Services) (Amendment) (EU Exit) Regulations 2019 - The Official Listing of Securities, Prospectus and Transparency (Amendment etc.) (EU Exit) Regulations 2019 - The Financial Services 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		<p>(Miscellaneous) (Amendment) (EU Exit) Regulations 2019</p> <ul style="list-style-type: none"> - The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 2) Regulations 2019 - The Financial Services (Electronic Money, Payment Services and Miscellaneous Amendments) (EU Exit) Regulations 2019 - The Capital Requirements (Amendment) (EU Exit) Regulations 2019 - The Risk Transformation and Solvency 2 (Amendment) (EU 			

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
		Exit) Regulations 2019 – The Prospectus (Amendment etc.) (EU Exit) Regulations 2019 – The Financial Services (Miscellaneous) (Amendment) (EU Exit) (No. 3) Regulations 2019			
78. The Capital Requirements Regulation (Amendment) (EU Exit) Regulations 2021					
Made on 7 May 2021: The Capital Requirements Regulation (Amendment) (EU Exit) Regulations 2021	Made and in force.	– The Capital Requirements (Amendment) (EU Exit) Regulations 2018	– The Capital Requirements Regulation (CRR) (EU) No 575/2013	Explanatory Memorandum	
79. The Money Laundering and Terrorist Financing (Amendment) (High-Risk Countries) Regulations 2021					
Made on 24 March 2021: The Money Laundering and Terrorist Financing (Amendment) (High-Risk Countries) Regulations 2021	Made and in force.	– The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017		Explanatory Memorandum	

Statutory Instrument	Status	Amended UK legislation	Amended Retained EU legislation	Explanatory Information and Guidance	Commentary
80. The Solvency 2 (Credit Risk Adjustment) Regulations 2021					
Made on 13 April 2021: The Solvency 2 (Credit Risk Adjustment) Regulations 2021	Made and in force.		— Commission Delegated Regulation (EU) No. 2015/35	Explanatory Memorandum	
81. The Financial Markets and Insolvency (Transitional Provision) (EU Exit) (Amendment) Regulations 2021					
Made on 30 June 2021: The Financial Markets and Insolvency (Transitional Provision) (EU Exit) (Amendment) Regulations 2021	Made and in force.	— The Financial Markets and Insolvency (Amendment and Transitional Provision) (EU Exit) Regulations 2019		Explanatory Memorandum	

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