

# Our Financial Services Regulatory practice

Paris – 2024



# Our Financial Services Regulatory expertise

A market-leading Financial Services Regulatory practice

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Our Financial Services Regulatory team (comprising 10 lawyers including two Partners and one Counsel) is a fully integrated part of the firm's Financial Services Regulatory group, which is composed of over 100 lawyers across our office network, covering all of the world's principal financial markets.

## Our Financial Services Regulatory team:

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- **provides innovative solutions to its clients.**  
We are structuring a brand new cooperative banking model for Crédit Mutuel Arkéa.
- **is a true leader in banking, financial, asset management and insurance regulatory matters.**  
We advise banks, insurers, investment firms, payment institutions, and asset/fund managers on the French regulatory requirements applicable to them in connection with the development of their activities and new products or services in France and internationally. We are the trusted advisers of two global financial institutions.
- **has significant experience in M&A transactions in the financial services area.**  
We advised A multinational investment bank and financial services company on the sale of one of her company and structured fund business activities to Amundi and Blackstone, A multinational media conglomerate and the Refinitiv groups regarding the indirect acquisition of a qualifying holding in LCH SA (the only French clearing house) and MTS France (French investment firm).
- **has a strong footprint in the Fintech sector.**  
We advise inter alia a multinational technology company, Alipay, A multinational technology company, Ebay, a gaming actor with respect to payment services related issues for its new playthora platform designed for the exchange of video game items.
- **is a recognised Brexit expert.**  
We advise both UK-based clients of the financial sector in respect of the consequences of the Brexit for their business as well as French stakeholders based in London, including advising and assisting them on the setting-up and obtaining of a license in France.
- **has broad expertise in regulatory driven transactions such as loan funds.**  
We advised French Banks for the implementation of EUR 11billion equity loan scheme, aimed at stimulating investment to boost the French economy under the Plan *France Relance*.

## Our close relationship with French Regulators

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### Regulators

We maintain regular contacts and close relationships, on and off matters, with the Autorité des marchés financiers (AMF – French regulatory authority supervising portfolio management companies, funds and compliance by investment services providers of MiFID II conduct of business rules), the Autorité de contrôle prudentiel et de résolution (ACPR – French insurance, financial and Fintechs regulator supervising insurance companies, credit institutions, investment firms, payment & electronic money institutions...) and the European Central Bank as well as the French Treasury.

### Market Associations

We regularly advise local market associations, including the International Swaps and Derivatives Association (ISDA), the French Banking Federation (FBF), Paris Europlace, Association Française de la Gestion Financière (AFG), the Haut Comité Juridique de la Place Financière de Paris (HCJP) and the French Association of Corporate Treasurers (AFTE).

# How we can assist you

- Licensing applications for a wide range of financial activities and in multiple jurisdictions.
- Advising traditional financial institutions and FinTechs on financial regulatory issues, such as, the application to them of organisational and conduct of business rules (e.g. MiFID II, PSD 2, AML 4 & 5, Solvency II, CRDIV/V, IFR/IFD...).
- M&A and corporate transactions involving all types of financial regulated entities.
- Surveys, producing surveys of rules and regulations in multiple jurisdictions and across different financial products and services.
- Regulatory consultations, working with clients or trade bodies to effectively represent their views before regulators and government.



# Key contacts



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**Brice Henry ranked Band 1**  
Chambers Europe 2022 – France (Financial Services Regulation)



**Mia Dassas – Rising Star**  
Legal 500 EMEA 2022 – France (Bank Regulatory)



**Brice Henry – Leading Individual**  
Legal 500 EMEA 2022 – France (Bank Regulatory)



**Mia Dassas – Rising Star**  
IFLR 1000 2022 – France (Banking, Financial Services Regulatory)



**Brice Henry – Market Leader**  
IFLR 1000 2021 – France (Financial Services Regulatory, Banking)



## Bank regulatory – A&O ranked tier 1

“The team covers a large number of our needs with recognised experts in each field (in France, Europe and also in the US). We know that we will find our answers with the team. Proactive team, force of proposals.”

Legal 500 EMEA 2022 – France (Bank Regulatory)



## Financial services regulation – A&O ranked band 2

Clients report: “They are very established and experienced, they are able to give good advice and know the market very well. If I have a question on a transaction or even a broader legal point, I would always be happy to pick up the phone to them,” and add: “The firm’s presence throughout Europe is a benefit too, the lawyers use their network very well.”

Another client remarks that “the team’s expertise in financial regulation inspires great confidence,” adding: “Allen & Overy’s lawyers are astute in guiding as opposed to simply answering a question.”

Chambers Europe 2022 – France (Financial Services Regulation)



## Financial services regulatory – A&O ranked band 1

“Brice Henry and his team are extremely highly qualified in every aspect of banking and prudential regulation. They know how to handle very complex issues. One of their precious professional assets is having a very good contact with the banking and financial regulators. Mia Dassas, counsel in Brice’s team, is a brilliant regulatory lawyer.”

IFLR1000 – France (Financial Services Regulatory)



For more information, please contact:

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## **Global presence**

Allen & Overy is an international legal practice with approximately 5,800 people, including some 590 partners, working in more than 40 offices worldwide. A current list of Allen & Overy offices is available at [www.allenoverly.com/global\\_coverage](http://www.allenoverly.com/global_coverage).

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